



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/765,067	01/18/2001	Gavin Brebner	B-4081 618511-7

CONFIRMATION NO. 6752

FORMALITIES LETTER



OC000000005826259

Richard P. Berg
c/o Ladas & Parry
21st floor
5670 Wilshire Boulevard
Los Angeles, CA 90036

Date Mailed: 03/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/28/2001 SDIRETA1 00000043 09765067

01 FC:101	710.00 OP
02 FC:102	80.00 OP
03 FC:105	130.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$80.
 - \$80 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 920.

*A copy of this notice **MUST** be returned with the reply.*

Melhan - Tary Byane

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Applicant(s):
Gavin BREBNER

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2152

Serial No.: 09/65,067

Examiner: unknown

Filed: January 18, 2001

Our Ref.: B-4081 618511-7

For: "PROCESS AND APPARATUS FOR
ALLOWING TRANSACTION BETWEEN A USER
AND A REMOTE SERVER"

Date of this paper:

March 21, 2001

Commissioner of Patents and Trademarks
BOX MISSING PARTS
Washington, D.C. 20231

Attn: Customer Service Center
Initial Patent Examination Division

Sir:

COMPLETION OF FILING REQUIREMENTS

Responsive to office letter dated 03/05/2001 (copy attached).

DECLARATION OR OATH

- [X] Please find enclosed the original Declaration/Power signed by the inventor, which is attached to a copy of the specification, claim, abstract and drawings as filed.

AMENDMENT OF CLAIMS

- [] Please cancel claims _____ inclusive
- [] Please find enclosed an amendment deleting multiple dependencies

NOTE: The filing fees, fees for and surcharge fees listed below are reduced by 50% where proof of a small entity status is established on or before the date the fee is paid. If the full fee is paid but a verified statement is filed within two months of the date of payment of the first fee then the excess fee paid will be refunded on request. Notice of January 20, 1983. 1027 TMOG 114.

FEES

- [X] Filing fee (37 CFR 1.16(a) - 710.00) \$710.00
(small entity - 380.00)
- [X] Fees for claims
- [X] One Independent claims in excess of 3
(37 CFR 1.16(b) - 80.00)
(small entity - 40.00)
- [] Claims in excess of 20
(37 CFR 1.16(c) - 18.00) \$ 80.00
(small entity - 9.00)

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:

Commissioner of Patents & Trademarks
BOX MISSING PARTS
Washington, D.C. 20231

Attn: Customer Service Center
Initial Patent Examination Division

Karyn Lao
(Typed or printed name of person mailing paper)

Date: March 21, 2001

[Signature]
(Signature of person mailing paper)

☐ Multiple dependent claim(s)
(37 CFR 1.16(d) - 260.00)
(small entity - 130.00) _____

☒ Surcharge fees

☒ Late payment of filing fee
(37 CFR 1.16(e) - 130.00)
(small entity - 65.00) _____

AND

☒ Late filing of declaration or oath
(37 CFR 1.16(e) - 130.00) \$130.00
(small entity - 65.00) _____

☐ Petition fee for filing by person not
the inventor (37 CFR 1.17(h) \$120.00) _____

☐ Recording assignment
(37 CFR 1.21(h)(i) 40.00) _____

☐ Attached is an assignment to _____

Total fees: \$920.00

SMALL ENTITY STATUS

☐ A verified statement that this filing is by a small entity is

☐ Attached ☐ Already filed on _____
W/cover sheet

PAYMENT OF FEES

☒ Enclosed is a check in the amount of \$920.00.

☐ Charge Account No. 12-0415 in the amount of _____.
A duplicate of this request is attached.

NOTE: Fees should be itemized in such a manner that it is clear for which purpose the fees are paid. 37 CFR 1.22(b).

AUTHORIZATION TO CHARGE ADDITIONAL FEES

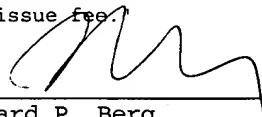
☒ The Commissioner is hereby authorized to charge the following additional fees which may be required or credit any overpayment to Account No. 12-0415.

☒ 37 CFR 1.16 (filing fees and presentation of extra claims)

☒ 37 CFR 1.17 (application processing fees)

☐ 37 CFR 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b)).

NOTE: 37 CFR 1.28(b) requires "Notification of any change in status resulting in loss of entitlement to small entity status must be filed in the application... prior to paying... issue fee."



Richard P. Berg
Attorney for the Applicant
Registration No.: 28,145

c/o LADAS & PARRY
5670 Wilshire Boulevard, Suite 2100
Los Angeles, California 90036-5679

Telephone No.: (323) 934-2300
Facsimile No.: (323) 934-0202